

# On Testing of Uniform Samplers

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**Eric Schmidt, 2015:** There should be verification systems that evaluate whether an AI system is doing what it was built to do.

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- Since mixing times/runtime of the underlying Markov Chains are often exponential, several heuristics have been proposed over the years.
- Often statistical tests are employed to argue for quality of the output distributions.
- But such statistical tests are often performed on a very small number of samples for which no theoretical guarantees exist for their accuracy.

# What does Complexity Theory Tell Us

- The queries are sample drawn according to the distribution
- “far” means total variation distance or the  $\ell_1$  distance.

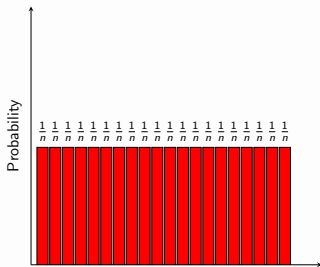


Figure: Uniform Sampler

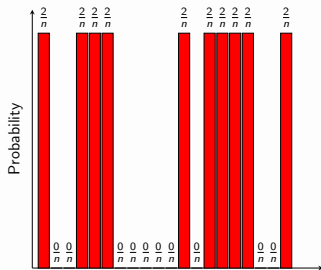


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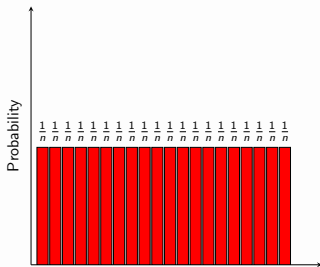


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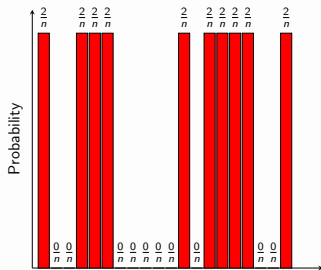


Figure: 1/2-far from uniform Sampler

- If  $< \sqrt{S}/100$  samples are drawn then with high probability you see only distinct samples from either distribution.

Theorem (Batu-Fortnow-Rubinfeld-Smith-White (JACM 2013))

Testing whether a distribution is  $\epsilon$ -close to uniform has query complexity  $\Theta(\sqrt{S}/\epsilon^2)$ . [*Paninski (Trans. Inf. Theory 2008)*]

## Definition (Conditional Sampling)

Given a distribution  $\mathcal{D}$  on a domain  $S$  one can

- Specify a set  $T \subseteq D$ ,
- Draw samples according to the distribution  $\mathcal{D}|_T$ , that is,  $\mathcal{D}$  under the condition that the samples belong to  $T$ .

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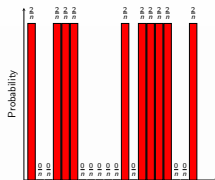
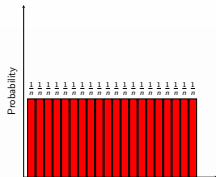
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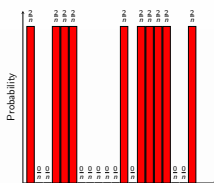
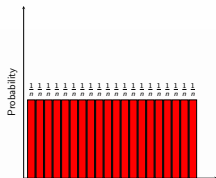
Clearly such a sampling is at least as powerful as drawing normal samples.

But how much powerful is it?

# Testing Uniformity Using Conditional Sampling



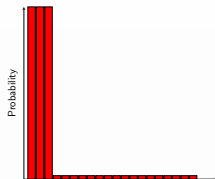
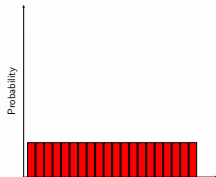
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An algorithm for testing uniformity using conditional sampling:

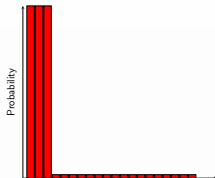
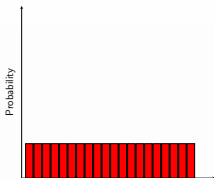
- 1 Draw two elements  $x$  and  $y$  uniformly at random from the domain. Let  $T = \{x, y\}$ .
- 2 In the case of the “far” distribution, with probability  $1/2$ , one of the two elements will have probability 0, and the other probability non-zero.
- 3 Now a constant number of conditional samples drawn from  $\mathcal{D}|_T$  is enough to identify that it is not uniform.

# What about other distributions?





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## Previous algorithm fails in this case:

- 1 Draw two elements  $\sigma_1$  and  $\sigma_2$  uniformly at random from the domain. Let  $T = \{\sigma_1, \sigma_2\}$ .
- 2 In the case of the "far" distribution, with probability almost 1, both the two elements will have probability same, namely  $\epsilon$ .
- 3 Probability that we will be able to distinguish the far distribution from the uniform distribution is very low.

Need few more different tests – More details at the poster

# Uniform Sampler for CNF formulas

- Given a CNF formula  $\phi$ , a CNF Sampler,  $\mathcal{A}$ , outputs a random solution of  $\phi$ .
- So  $S$  is the set of all solutions of  $\phi$ .

## Definition

A CNF-Sampler,  $\mathcal{A}$ , is a randomized algorithm that, given a  $\phi$ , outputs a random element of the set  $S$ , such that, for any  $\sigma \in S$

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- Uniform sampling has wide range of applications in automated bug discovery, pattern mining, and so on.
- Several samplers available off the shelf: tradeoff between guarantees and runtime

Input: A sampler  $\mathcal{A}$ , a reference uniform generator  $\mathcal{U}$ , a tolerance parameter  $\varepsilon > 0$ , an intolerance parameter  $\eta > \varepsilon$ , a guarantee parameter  $\delta$  and a CNF formula  $\varphi$

Output: ACCEPT or REJECT with the following guarantees:

- if the generator  $\mathcal{A}$  is an  $\varepsilon$ -additive almost-uniform generator then Barbarik ACCEPTS with probability at least  $(1 - \delta)$ .
- if  $\mathcal{A}(\varphi, \cdot)$  is  $\eta$ -far from a uniform generator and If non-adversarial sampler assumption holds then Barbarik REJECTS with probability at least  $1 - \delta$ .

## Theorem

*Given  $\varepsilon$ ,  $\eta$  and  $\delta$ , Barbarik need at most  $K = \tilde{O}\left(\frac{1}{(\eta-\varepsilon)^4}\right)$  samples for any input formula  $\varphi$ , where the tilde hides a poly logarithmic factor of  $1/\delta$  and  $1/(\eta - \varepsilon)$ .*

- $\varepsilon = 0.6, \eta = 0.9, \delta = 0.1$
- Maximum number of required samples  $K = 1.72 \times 10^6$
- Independent of the number of variables
- To Accept, we need  $K$  samples but rejection can be achieved with lesser number of samples.

- Three state of the art (almost-)uniform samplers
  - UniGen2: Theoretical Guarantees of uniformity
  - SearchTreeSampler: Very weak guarantees
  - QuickSampler: No Guarantees
- Recent study that proposed Quicksampler perform unsound statistical tests and claimed that all the three samplers are indistinguishable

# Results-I

Instances	#Solutions	UniGen2		SearchTreeSampler	
		Output	#Solutions	Output	#Solutions
71	$1.14 \times 2^{59}$	A	1729750	R	250
blasted_case49	$1.00 \times 2^{61}$	A	1729750	R	250
blasted_case50	$1.00 \times 2^{62}$	A	1729750	R	250
scenarios_aig_insertion1	$1.06 \times 2^{65}$	A	1729750	R	250
scenarios_aig_insertion2	$1.06 \times 2^{65}$	A	1729750	R	250
36	$1.00 \times 2^{72}$	A	1729750	R	250
30	$1.73 \times 2^{72}$	A	1729750	R	250
110	$1.09 \times 2^{76}$	A	1729750	R	250
scenarios_tree_insert_insert	$1.32 \times 2^{76}$	A	1729750	R	250
107	$1.52 \times 2^{76}$	A	1729750	R	250
blasted_case211	$1.00 \times 2^{80}$	A	1729750	R	250
blasted_case210	$1.00 \times 2^{80}$	A	1729750	R	250
blasted_case212	$1.00 \times 2^{88}$	A	1729750	R	250
blasted_case209	$1.00 \times 2^{88}$	A	1729750	R	250
54	$1.15 \times 2^{90}$	A	1729750	R	250



# Results-II

Instances	#Solutions	UniGen2		QuickSampler	
		Output	#Solutions	Output	#Solutions
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- Barbarik can effectively test whether a sampler generates uniform distribution
- Samplers without guarantees, SearchTreeSampler and QuickSampler, fail the uniformity test while sampler with guarantees passes the uniformity test.

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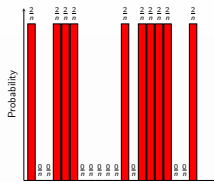
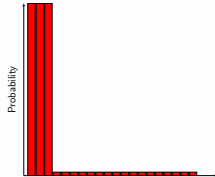
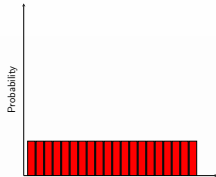
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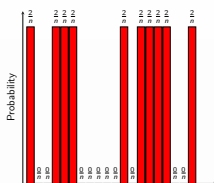
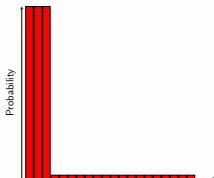
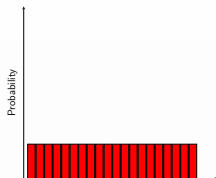
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- 3 We will be able to distinguish the far distribution from the uniform distribution using constant number of conditional samples from  $\mathcal{D}|_T$ .
  - How do we generate conditional samples?
- 4 The constant depend on the fairness parameter.

- Input formula:  $F$  over variables  $X$
- **Challenge:** Conditional Sampling over  $T = \{\sigma_1, \sigma_2\}$ .
- Construct  $G = F \wedge (X = \sigma_1 \vee X = \sigma_2)$

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- **Challenge:** Conditional Sampling over  $T = \{\sigma_1, \sigma_2\}$ .
- Construct  $G = F \wedge (X = \sigma_1 \vee X = \sigma_2)$
- Most of the samplers enumerate all the points when the number of points in the Domain are small
- Need way to construct formulas whose solution space is large but every solution can be mapped to either  $\sigma_1$  or  $\sigma_2$ .

Input: A Boolean formula  $\varphi$ , two assignments  $\sigma_1$  and  $\sigma_2$ , and desired number of solutions  $\tau$

Output: Formula  $\hat{\varphi}$

- 1  $\tau = |R_{\hat{\varphi}}|$
- 2  $Supp(\varphi) \subseteq Supp(\hat{\varphi})$
- 3  $z \in R_{\hat{\varphi}} \implies z_{\downarrow S} \in \{\sigma_1, \sigma_2\}$
- 4  $|\{z \in R_{\hat{\varphi}} \mid z_{\downarrow S} = \sigma_1\}| = |\{z \in R_{\hat{\varphi}} \mid z_{\downarrow S} \cap \sigma_2\}|$ , where  $S = Supp(\varphi)$ .
- 5  $\varphi$  and  $\hat{\varphi}$  has similar structure

## Definition

The **non-adversarial sampler assumption** states that if  $\mathcal{A}(\varphi)$  outputs a sample by drawing according to a distribution  $\mathcal{D}$  then the  $(\hat{\varphi})$  obtained from  $kernel(\varphi, \sigma_1, \sigma_2, N)$  has the property that:

- There are only two set of assignments to variables in  $\varphi$  that can be extended to a satisfying assignment for  $\hat{\varphi}$
  - The distribution of the projection of samples obtained from  $\hat{\varphi}$  to variables of  $\varphi$  is same as the conditional distribution of  $\varphi$  restricted to either  $\sigma_1$  or  $\sigma_2$
- 
- If  $\mathcal{A}$  is a uniform sampler for all the input formulas, it satisfies non-adversarial sampler assumption
  - If  $\mathcal{A}$  is not a uniform sampler for all the input formulas, it may not necessarily satisfy non-adversarial sampler assumption